Doc Code:

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|----|----------------------------------|---|
|    | Application Number               | 10/074,272                              |
|    | Filing Date                      | February 14, 2002                       |
|    | First Named Inventor             | R. K. Yang                              |
|    | Art Unit                         | 1734                                    |
|    | Examiner Name                    | Michelle A. Lazor                       |
|    | Attorney Docket Number           | 1199-4 RCE                              |

FOR
CONTINUED EXAMINATION (RCE)
Address and the Commissioner for Patents

REQUEST

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8. 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

| 8, 1995, or to any design application. See instruction sheet for RCEs (not to be  |                 | ,                                      |  |  |
|---|-----------------|--|--|--|
| <ol> <li>Submission required under 37 CFR 1.114         Note: If the RCE is proper, any previously filed unentered and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).     </li> <li>a. Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.         <ol> <li>Consider the arguments in the Appeal Brief or Reply Brief previously filed on</li> <li>Other</li> </ol> </li> </ol> |                 |  |  |  |
| b. ⊠ Enclosed i. ⊠ Amendment/Reply iii. ⊠ Information D   | isclosure State | ement (IDS)<br>Pursuant to MPEP 819.01 |  |  |
| <ul> <li>2. Miscellaneous</li> <li>a. Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)</li> <li>b. Other</li> </ul>  |                 |  |  |  |
| 3. Fees The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.  a. ☑ The Director is hereby authorized to charge the following fees, or credit any overpayments, to  Deposit Account No. 08-2461 I have enclosed a duplicate copy of this sheet.  i. ☑ RCE fee required under 37 CFR 1.17(e) 07/12/2005 NWOLDGE1 00000064 10074272  ii. ☐ Extension of time fee (37 CFR 1.136 and 1017) C:2801 395.00 OP   |                 |  |  |  |
| <ul> <li>b.  Check in the amount of \$ 395.00 enclosed</li> <li>c.  Payment by credit card (Form PTO-2038 enclosed)</li> <li>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</li> </ul>  |                 |  |  |  |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED   |                 |  |  |  |
| Signature Jan Jan   | Date            | July 8, 2005                           |  |  |
| Name (Print / Type) Jamie M. Larmann  | Registration I  | No. 48,623                             |  |  |
| CERTIFICATE OF MAILING OR TRANSMISSION  I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.   |                 |  |  |  |
| Signature Kanley J. Gridhand  |                 |  |  |  |
| Name (Print / Type) Kathleen J. Goodhand  | Date Jul        | y 8, 2005                              |  |  |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## **PATENT**

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

R. K. Yang et al.

Examiner:

Michelle A. Lazor

Application No.:

10/074,272

Group Art Unit:

1734

Confirmation No:

4926

Docket:

1199-4 RCE

Filed:

February 14, 2002

Dated:

July 8, 2005

For:

THIN FILM WITH NON-SELF-AGGREGATING UNIFORM HETERO-GENEITY AND DRUG DELIVERY SYSTEMS MADE THEREFROM

Mail Stop RCE Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313 I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313

On: July 8, 2005

Signature: K.J. Goodhand/ K.J. Swuhal

## AMENDMENT AND RESPONSE PURSUANT TO 37 C.F.R. §1.114

Sir:

In response to the final Office Action dated May 16, 2005, the due date for which is August 16, 2005, please amend the above-identified application as follows prior to further examination of the application.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 12 of this paper.